Guidelines

For

Competency Based Training Programme

In

DNB- HEALTH ADMINISTRATION INCLUDING HOSPITAL ADMINISTRATION



NATIONAL BOARD OF EXAMINATIONS

Medical Enclave, Ansari Nagar, New Delhi-110029, INDIA Email: <u>mail@natboard.edu.in</u>Phone: 011 45593000

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OBJECTIVES OF THE PROGRAMME

Programme goal

The candidate after 03 years of DNB training should acquire the competencies so that the trainee is able to carry out the job functions of a healthcare administrator, including planning and management of services within the ethical as well as legal framework.

Programme objective

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- To manage operations of hospitals and other healthcare establishments including their human, finance, and materials resources
- To apply principles of management to plan, implement and control systems as well as processes in healthcare settings
- To plan hospitals and healthcare establishments
- To plan and implement healthcare programmes

ELIGIBILITY CRITERIA FOR ADMISSION

DNB HOSPITAL& HEALTH ADMINISTRATION Course:

- Any medical graduate with MBBS qualification, who has qualified the Entrance Examination conducted by NBE and fulfill the eligibility criteria for admission to DNB Broad Specialty courses at various NBE accredited Medical Colleges/ Institutions/Hospitals in India is eligible to participate in the centralized counseling for allocation of DNB HEALTH ADMINISTRATION INCLUDING HOSPITAL ADMINISTRATION seats purely on merit cum choice basis.
- Admission to 3 years DNB HEALTH ADMINISTRATION INCLUDING HOSPITAL ADMINISTRATION course is only through Entrance Examination conducted by NBE and Centralized Merit Based Counseling conducted by National Board of Examination as per prescribed guidelines.

Duration of Course: 3 Years

Every candidate admitted to the training programme shall pursue a regular course of study (on whole time basis) in the concerned recognized institution under the guidance of recognized post graduate teacher for assigned period of the course.

The fundamental components of the teaching programme should include the following: -

- 1. Case presentations & discussion- once a week
- 2. Seminar Once a week
- 3. Journal club Once a fortnight
- 4. 15 days rotation in all wards and departments of hospital as well as supportive services with a view to understand and resolve administrative issues. The findings to be documented for assessment by the faculty.
- 5. Faculty lecture teaching- Once a week
- 6. Audit of medical records and administrative issues of the Hospital Once a Month
- 7. One poster and one oral presentation at least once during their training period in a recognized conference.
- 8. Study visits to the following nearby healthcare facilities:-
 - (a) Sub Centre
 - (b) Primary Health Centre
 - (c) Community Health Centre
 - (d) District Hospital
 - (e) Government Medical College
 - (f) Super Specialty Private Hospital
 - (g) Under construction Hospital Project

Theoretical: The theoretical knowledge would be imparted to the candidates through discussions, journal clubs, symposia and seminars. The students are exposed to recent advances through discussions in journal clubs. These are considered necessary in view of an inadequate exposure to the subject in the undergraduate curriculum.

Symposia: Trainees would be required to present a minimum of 20 topics based on the curriculum in a period of three years to the combined class of teachers and students. A free discussion would be encouraged in these symposia. The topics of the symposia would be given to the trainees with the dates for presentation.

Journal Clubs: This would be a fortnightly academic exercise. A list of suggested Journals is given towards the end of this document. The candidate would summarize and discuss the scientific article critically. A faculty member will suggest the article and moderate the discussion, with participation by other faculty members and resident doctors. The contributions made by the article in furtherance of the scientific knowledge and limitations, if any, will be highlighted.

Research: The student would carry out the research project and write a thesis/ dissertation in accordance with NBE guidelines.

SYLLABUS

I - General Administration and Management

SI	Торіс	Contents		
1.	Development of			
1.	Management Concept	 History and growth of Management science Traditional vs. modern management 		
	Management Concept			
		Evolution of management theory		
		Management as a profession		
		Ethics in management		
2.	Management Function	Management levels and skills		
	& Tools	Functions & Principles of management		
		Challenges to a manager		
		Systems Approach		
		Role of the executive		
		Management tools		
		Styles of management		
		 Styles of management Committees 		
3.	Fundamentals of	Committees Hierarchy and Types of Plans		
0.	Planning and Decision	Steps in planning		
	Making	Managerial decision making		
4.	Organisation Structure			
4.	Organisation Structure	Organisational design and function		
		Hospital Organisation		
		Matching structure and strategy		
		 Functional organization Line and staff authority 		
		Line and staff authority		
	- <i>m</i>	Delegation/ Decentralisation Definition of Office & office procedures		
5.	Office procedure and	Definition of Office & office procedures		
	Disciplinary	Drafting official letters		
	proceedings	 Service rules & procedure Conduct rules 		
		-		
		Disciplinary proceedings		
6.	Communication	Basic concepts		
		Types of communication		
		Barriers of communication		
		Principles of good communication		
		Communication in Healthcare		
7.	Personnel	Definition & Importance		
	Management &	Work study & Method study		
	Human Resource			
	Development	Recruitment & selection		
		Job analysis		
		Job description		
		Job evaluation		
	Job enrichment			
	Training & development			
		Performance Appraisal		
		Grievance Redressal		
		Absenteeism		
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	Organisational Behavior and Group Dynamics	 Basics of sociology, anthropology, psychology Characteristics of workgroups OB labs Dynamics of organizational behavior Motivation & Leadership Conflict management Transactional analysis Team building Change Management Johari Window Grievance redressal systems
9.	Financial Management	 GDP, GNP, National Economic Policies Budgeting, types of budget Working Capital, Cash flow analysis Financial Statement and Ratios Balance Sheets Elements of cost, cost accounting Fixed assets and Depreciation Break even analysis, Cost effectiveness, Cost benefit analysis Financial Management in hospitals Cost containment in hospitals
10.	Material Management	 Importance of Material Management Theory of Demand and Supply Inventory control Purchase cycle Tender System Economic order quantity, Safety stock, Lead time Receipt and Inspection of Stores Distribution, Standardisation, Codification Condemnation and Disposal Stores documentation Equipment audit Logistics and Supply chain management Role of computers in Stores Management
	Risk Management	 Ergonomics and its application in hospitals Occupational hazards Workman Compensation Act Definition, scope and importance of industrial relations
13.	Information System Modern Management Techniques	 Information system analysis and design HMIS a tool to managerial control Quantitative methods of Management OR techniques and their application in healthcare Management by objective
	Marketing Management	 Concept of Marketing Marketing strategies, evaluation and control Marketing Information & research Market & medical ethics Social Aspects of marketing Privatization of Health Public Private Partnership (PPP) Outsourcing Medical Tourism Corporate Social Responsibility

SI	1	Contents		
5 1 1.	Topic Development of	Evaluation of health care services		
' '.	Health	 Evaluation of health care services Definition & dimensions of health 		
	Services in India	Review of different reports on Health care		
2.	Medical Sociology	 Sociological perspectives of Health, illness and healing 		
3.	Health & Disease	Concept of health & disease		
0.		Concept of health & disease Concept of well being		
		Natural history of disease and role of hospitals in various levels		
		 Natural history of disease and role of hospitals in various levels of prevention 		
4.	Research	Concept of health indicators		
	Methodology in	Types of surveys		
	Health and Hospital	 Selecting a problem, making hypothesis 		
	Administration	Research Protocol writing		
		Determining objectives		
		Bibliographical data		
		Sample size determination		
		Data collection techniques and tools		
		Questionnaires and Interview techniques		
		Observation technique		
		Analysis of data		
		Report writing		
		Errors of Measurement		
5.	National Health Policy	National Health Policy – 2017		
		Role of Health education and communication		
		Health Committees		
		National Health Programmes		
		Millennium Developmental Goals and Sustainable development		
0		goals		
6.	Biostatistics	Concept of Biostatistics		
		Presentation of data		
		Frequency of distribution		
		Measurements of central tendency		
		 Measurement of dispersion Sampling & Sampling error 		
		 Sampling & Sampling error Testing of hypothesis 		
7.	Epidemiology	 Lest of significance Evolution and uses of epidemiology 		
ľ ·	Lpidomiology	 Definitions and terminology 		
		 Natural history of disease and role of hospital in various levels of 		
		preventions		
1		Types of epidemiology		
		Methods of epidemiological studies		
		Socio-economic status and occupation as determinant in		
		disease distribution		
		Cause and effect relationship		
		Epidemiology of hospital infection		
		Epidemiology of		
		Non-Communicable diseases		
		Trauma and RTA Diabetes		
		 Coronary Artery Disease (CAD) How to investigate an epidemic and role of the hospital in its 		
		control		
		 Common diseases in India-their epidemiology and prevention 		
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II - Health care and Health Administration

		Screening and surveysConcept of health Indicators		
		 Concept of health Indicators 		
		 Concept of health Indicators Disability adjusted life years (DALY's) 		
		Quality adjusted life years (QALY's)		
		Disability adjusted life expectancy (DALE)		
		Physical quality of life Index (PQLI) etc		
8.	Health Statistics and	Need		
	Health Information	• Common rates & ratio		
	System in India	Incidence & prevalence rates and		
		Morbidity		
		Mortality		
		Health reports		
		Notifiable Diseases		
		Health care Delivery system		
		 ICD -10 & ICD – 11 		
9.	Hospital Utilization	Community Indices: Bed population ratio, Hospital admission		
	Statistics	rate , Per capita Hospitalisation rate		
		 Hospital utilisation Indices: Average daily census, Bed 		
		occupancy rate, bed turnover rate, bed turn over interval,		
		Average length of stay		
10.	Medical Records	 Definition, historical background, types of medical records, 		
		retention of records, computerisation of medical records,		
		medical record audit, MR Department		
11.	Health Economics	Basics of health economics		
		Analysis of demand and supply Health Insurance Schemes and social		
		Health Insurance Schemes and social		
		Security scheme like CGHS, ESI in India		
		Medical care system & Health		
		Insurance System in different countries		
12.	Population Dynamics	Demography and family planning		
13.	Ethics Laws and Acts			
		Legal issues in Hospital administration		
		 Laws and Acts applicable to hospitals 		
		5		
		 Dying Declaration 		
		 Importance (section 32 & 157) of Indian Evidence Act and 		
		Death Certificate -		
		 Industrial relations and laws Patient's rights & provider's responsibility - Medical Malpractice Medical ethics and ethical issues in end of life decisions 		

SI	Торіс	g and Hospital Administration Contents		
1.	Hospital	 History and development of hospitals Definition, types, control, role and functions Hospitals in India today, their number, types, size, distribution, ownership, utilization, issues & trends 		
2.	Nursing Administration	 Introduction to Nursing profession Nursing organization structure Nurse as a social and professional entity Staffing norms in various types of hospitals and different departments. Recent trends in nursing profession and nursing 		
3.	Public Relations in Hospital	Public relations		
4.	Employees Welfare	 Occupational safety Conflict management Stress management 		
5.	Quality in Health Services	 Counseling Quality concept Verifiable standards and parameter Various Quality Models Total Quality management Kaizen Lean management Six Sigma in healthcare Hospital and Healthcare Accreditation 		
6.	Future of Hospital Administration	 Performance Review Hospital statistics & quality control Recent trends in hospital Challenges to administrators Reengineering Telemedicine Artificial intelligence 		
7.	Hospital Planning- General consideration	 Changing system of Health Services concept in planning, designing and space Site surveys for planning a hospital Planning for hospitals macro and micro aspects Hospital building an overview External architectural aspects and landscaping Internal arrangements Hospital hygiene and sanitation Lighting & HVAC Design considerations including evidence based design and Architect Brief Planning and designing specialised hospitals Taking over and commissioning a new hospital Alteration and additions in an existing hospital Planning the Hospital Engineering Services Repair and maintenance schedule Equipment planning for a new hospital Green buildings 		

III - Hospital Planning and Hospital Administration

IV. Administration of Clinical and Non Clinical Services and Administrative Procedures

Topic	Contents	
1. Clinical	Outpatient services	
Services	Surgical services	
	Operating department	
	Paediatric services	
	 Dental services & Maxillo-facial Surgery 	
	Psychiatric services	
	Radiodiagnosis	
	Radiotherapy services	
	Accident and Emergency services	
	Hospital Laboratory services	
	 Obstetrics and Gynecology services 	
	Intensive care unit	
	Dialysis unit	
	Day care units Bana marrow transplant unit (PMT)	
	 Bone marrow transplant unit (BMT) Nuclear medicine 	
	 Nuclear medicine Lithotripsy centre 	
	 Physiotherapy centre 	
	Burns centre	
	 Malignant Diseases Treatment Centre 	
	Trauma centre	
	Geriatric services	
	Antibiotic Policy	
	Standard Precautions	
	Spill Management	
	 Occupational hazards and safety in healthcare 	
Non-Clinical	Enquiry & registration	
services and	Admission office	
Administrativ	 Inspection, Medical Superintendent's rounds 	
e procedure	Hospital Standing Orders	
	Hospital Welfare Services	
	 Indian Red Cross society and hospitals 	
	Nursing services	
	 Ward management including welfare and recreational facilities 	
	 House-keeping including Pest control Medical stores and Pharmacy services 	
	Medical stores and Pharmacy services	
	Blood Bank and Transfusion-services	
	 Central Sterile Supply Department (CSSD) Dietary service 	
	 Linen and laundry services Hospital engineering services 	
	 Fire Fighting services 	
	Ambulance services	
	Fatal documents	
	Mortuary	
	 Equipment management 	
	Transportation in hospitals (Intramural, Extramural)	
	Biomedical waste management	
	Solid waste management	
	 Hospital Information system (HIS) 	
	Structural requirement for infection control in hospitals	
	Hospital formulary	
	Essential drugs list (EDL)	
	 Patient feedback & Discharge procedure 	
	Disaster Management	

	 Fire Safety in Hospitals Hospital Safety and Security Enterprise Resource Planning (ERP) Hospital Media Relations & Marketing Non – Profit Organizations
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COMPETENCIES

- Presentation and communication skills
- Problem solving skills
- Leadership skills
- Planning and monitoring of the health activities
- Analysis of data
- Evaluation of the activities and programmes
- Research
- Mentoring
- Training and development of manpower

THESIS PROTOCOL & THESIS

Research shall form an integral part of the education programme of all candidates registered for DNB degrees of NBE. The basic aim of requiring the candidates to write a thesis protocol & thesis/dissertation is to familiarize him/her with research methodology. The members of the faculty guiding the thesis/dissertation work for the candidate shall ensure that the subject matter selected for the thesis/dissertation is **feasible, economical** and **original**.

The candidates are required to submit thesis during their training as prescribed by NBE.

Guidelines for Thesis Protocol

The protocol for a research proposal (including thesis) is a study plan, designed to describe the background, research question, aim and objectives, and detailed methodology of the study. In other words, the protocol is the 'operating manual' to refer to while conducting a particular study.

The candidate should refer to the NBE guidelines for preparation and submission of Thesis Protocol before the writing phase commences. The minimum writing requirements are that the language should be clear, concise, precise and consistent without excessive adjectives or adverbs and long sentences. There should not be any redundancy in the presentation.

The development or preparation of the Thesis Protocol by the candidate will help her/him in understanding the ongoing activities in the proposed area of research. Further it helps in creating practical exposure to research and hence it bridges the connectivity between clinical practice and biomedical research. Such research exposure will be helpful in improving problem solving capacity, getting updated with ongoing research and implementing these findings in clinical practice.

Research Ethics: Ethical conduct during the conduct and publication of research is an essential requirement for all candidates and guides, with the primary responsibility of ensuring such conduct being on the thesis guide. Issues like Plagiarism, not maintaining the confidentiality of data, or any other distortion of the research process will be viewed seriously. The readers may refer to standard documents for the purpose.

PROTOCOL REQUIREMENTS

- 1. The thesis protocol should be restricted to the following word limits:
 - Title : 120 characters (with spacing)
 - Synopsis [structured] : 250-300
 - Introduction : 300-500
 - Review of literature : 800-1000
 - Aim and Objectives : Up to 200
 - Material and Methods : 1200-1600
 - 10-25 References [ICMJE style]
- 2. It is mandatory to have ethics committee and scientific research committee approval before initiation of the research work.
- 3. The concerned NBE accredited hospital shall be required to evaluate the thesis protocol at its own level through Institutional Ethics Committee (IEC) and Scientific Research Committee (SRC) and approve the thesis protocol for carrying out the research work. The constitution of IEC & SRC has to be in accordance with the guidelines prescribed by NBE.
- 4. After the thesis protocol has been assessed and evaluated by IEC & SRC and has been approved for carrying out the research work, the same has to be submitted to NBE within 3 months of joining of DNB candidate in the concerned hospital for DNB training.

Guidelines for Thesis

- 1. The proposed study must be approved by the institutional ethics committee and scientific research committee.
- 2. The thesis should be restricted to the size of 80 pages (maximum). This includes the text, figures, references, annexures, and certificates etc. It should be printed on both sides of the paper; and every page has to be numbered. Do not leave any page blank. To achieve this, following points may be kept in view:
 - a. The thesis should be typed in 1.5 space using Times New Roman/Arial/ Garamond size 12 font, 1" margins should be left on all four sides. Major sections viz., Introduction, Review of Literature, Aim & Objectives, Material and Methods, Results, Discussion, References, and Appendices should start from a new page. Study proforma (Case record form), informed consent form, and patient information sheet may be printed in single space.
 - b. Only contemporary and relevant literature may be reviewed. Restrict the introduction to 2 pages, Review of literature to 10-12 pages, and Discussion to 8-10 pages.
 - c. The techniques may not be described in detail unless any modification/innovations of the standard techniques are used and reference(s) may be given.
 - d. Illustrative material may be restricted. It should be printed on paper only. There is no need to paste photographs separately.

- 3. Since most of the difficulties faced by the residents relate to the work in clinical subject or clinically-oriented laboratory subjects, the following steps are suggested:
 - a. The number of cases should be such that adequate material, judged from the hospital attendance/records, will be available and the candidate will be able to collect case material within the period of data collection, i.e., around 6-12 months so that he/she is in a position to complete the work within the stipulated time.
 - b. The aim and objectives of the study should be well defined.
 - c. As far as possible, only clinical/laboratory data of investigations of patients or such other material easily accessible in the existing facilities should be used for the study.
 - d. Technical assistance, wherever necessary, may be provided by the department concerned. The resident of one specialty taking up some problem related to some other specialty should have some basic knowledge about the subject and he/she should be able to perform the investigations independently, wherever some specialized laboratory investigations are required a co-guide may be co-opted from the concerned investigative department, the quantum of laboratory work to be carried out by the candidate should be decided by the guide & co-guide by mutual consultation.
- 4. The clinical residents are not ordinarily expected to undertake experimental work or clinical work involving new techniques, not hitherto perfected OR the use of chemicals or radioisotopes not readily available. They should; however, be free to enlarge the scope of their studies or undertake experimental work on their own initiative but all such studies should be feasible within the existing facilities.
- 5. The DNB residents should be able to freely use the surgical pathology/autopsy data if it is restricted to diagnosis only, if however, detailed historic data are required the resident will have to study the cases himself with the help of the guide/co-guide. The same will apply in case of clinical data.
- 6. Statistical methods used for analysis should be described specifically for each objective, and name of the statistical program used mentioned.

General Layout of a DNB Thesis:

- **Title-** A good title should be brief, clear, and focus on the central theme of the topic; it should avoid abbreviations. The Title should effectively summarize the proposed research and should contain the PICO elements.
- **Introduction-** It should be focused on the research question and should be directly relevant to the objectives of your study.
- **Review of Literature -** The Review should include a description of the most relevant and recent studies published on the subject.
- Aim and Objectives The 'Aim' refers to what would be broadly achieved by this study or how this study would address a bigger question / issue.
- The 'Objectives' of the research stem from the research question formulated and should at least include participants, intervention, evaluation, design.

- **Material and Methods-** This section should include the following 10 elements: Study setting (area), Study duration; Study design (descriptive, case-control, cohort, diagnostic accuracy, experimental (randomized/non-randomized)); Study sample (inclusion/exclusion criteria, method of selection), Intervention, if any, Data collection, Outcome measures (primary and secondary), Sample size, Data management and Statistical analysis, and Ethical issues (Ethical clearance, Informed consent, trial registration).
- **Results-** Results should be organized in readily identifiable sections having correct analysis of data and presented in appropriate charts, tables, graphs and diagram etc.
- **Discussion**–It should start by summarizing the results for primary and secondary objectives in text form (without giving data). This should be followed by a comparison of your results on the outcome variables (both primary and secondary) with those of earlier research studies.
- Summary and Conclusion- This should be a précis of the findings of the thesis, arranged in four paragraphs: (a) background and objectives; (b) methods; (c) results; and (d) conclusions. The conclusions should strictly pertain to the findings of the thesis and not outside its domain.
- **References-** Relevant References should be cited in the text of the protocol (in superscripts).
- **Appendices** -The tools used for data collection such as questionnaire, interview schedules, observation checklists, informed consent form (ICF), and participant information sheet (PIS) should be attached as appendices.

Thesis Submission to NBE

- 1. As per NBE norms, writing a thesis is essential for all DNB candidates towards partial fulfillment of eligibility for award of DNB degree.
- 2. DNB candidates are required to submit the thesis before the cut-off date which shall be 30th June of the same year for candidates appearing for their scheduled December final theory examination. Similarly, candidates who are appearing in their scheduled June DNB final examination shall be required to submit their thesis by 31st December of preceding year.
- 3. Candidates who fail to submit their thesis by the prescribed cutoff date shall NOT be allowed to appear in DNB final examination.
- 4. Fee to be submitted for assessment (In INR): 3500/-
- 5. Fee can be deposited ONLY through pay-in-slip/challan at any of the Indian bank branch across India. The challan can be downloaded from NBE website <u>www.natboard.edu.in</u>
- 6. Thesis should be bound and the front cover page should be printed in the standard format. A bound thesis should be accompanied with:

- a. A Synopsis of thesis.
- b. Form for submission of thesis, duly completed
- c. NBE copy of challan (in original) towards payment of fee as may be applicable.
- d. Soft copy of thesis in a CD duly labeled.
- e. Copy of letter of registration with NBE.
- 7. A declaration of thesis work being bonafide in nature and done by the candidate himself/herself at the institute of DNB training need to be submitted bound with thesis. It must be signed by the candidate himself/herself, the thesis guide and head of the institution, failing which thesis shall not be considered.

Constitution Institutional Ethics Committee:

- The accredited hospital should have an Institutional Ethics Committee (IEC) which is multidisciplinary and multi-sectorial in composition. The Institutional Ethics Committee (IEC) shall review all ethical aspects of the project proposals received by it from DNB trainees in an objective manner & shall provide advice to researchers on all aspects of the welfare and safety of all the concerned after ensuring the scientific soundness of the proposed research through appropriate Scientific Review Committee.
- 2. The accredited hospital / institute is required to have an Institutional Ethics Committee (IEC) as per Biomedical Research Guidelines of ICMR. It should be registered with the Drug Controller General of India (DCGI).
- 3. The number of persons in an ethics committee should be kept fairly small (8 12 members). It is generally accepted that a minimum of five persons is required to form the quorum without which a decision regarding the research should not be taken. The IEC should appoint from among its members a Chairman who should be from outside the Institution to maintain the independence of the Committee. The Member Secretary should be from the same Institution and should conduct the business of the Committee. Other members should be a mix of medical/nonmedical, scientific and non-scientific persons including lay persons to represent the differed points of view.

The composition may be as follows:

- Chairperson
- One two persons from basic medical science area
- One two clinicians from various Institutes
- One legal expert or retired judge
- One social scientist/ representative of non-governmental voluntary agency
- One philosopher/ ethicist/ theologian
- One lay person from the community Member Secretary
- 4. In case the institution does not have an Institutional Ethics Committee (IEC) registered with DCGI, the accredited hospital may tie up with a nearby institution to utilise its DCGI registered IEC. A Memorandum of Understanding in this regard shall be required to be submitted to Accreditation Department of NBE

Constitution of Scientific Research Committee/Institutional Research Committee

- 1. In addition to the Institutional Ethics Committee (IEC), the applicant hospital should also have an Institutional Research Committee/Scientific Research Committee (SRC) to mentor & review the research projects in the hospital.
- The SRC shall comprise of following members:

 Head of the Institute Shall be the Chairman of the Committee
 Statistician
 Local teaching faculty of the level of Professor/Sr. Consultant from other hospitals/institutions
 Guide & Co-Guide(s) of concerned DNB trainee
 Basic Sciences Faculty
- 3. Further members can be incorporated as a part of the above committee and all Guide/Co-Guides will act as ex-officio members.
- 4. SRC has to be constituted in-house (as per composition prescribed above) as it includes thesis guides of DNB candidates. The thesis protocols of DNB trainees shall be required to be approved by the IEC and SRC.

(To be issued only on Official letterhead of the hospital)

Ref. No:

Dated:

To, Deputy Director (Medical), National Board of Examinations Medical Enclave, Ansari Nagar, Mahatma Gandhi Marg (Ring Road) New Delhi-110029

Subject: - Thesis Protocol Approval Letter (Institutional Ethics Committee & Scientific Research Committee and its Composition)

Sir,

This is for your kind information that the research proposal/thesis protocols of below listed DNB candidates have been considered and reviewed by the Scientific Research Committee (SRC) of the Institute/hospital in its meeting held on ______ and by the Institutional Ethics Committee (IEC) in its meeting held on ______. S.N. Name of Candidate Specialty Session Testing ID/Roll No. Thesis Topic Title the IEC which reviewed the proposals is duly registered with the Drug Controller General of India (DCGI) and SRC of the hospital is composed as per guidelines prescribed by NBE for the purpose. The authenticated copies of composition of both the committees are enclosed herewith. Both the committees i.e. IEC and SRC have approved conducting the study on above listed research proposal(s) of DNB candidate(s) for the purpose of writing their DNB theses. It is further certified that the proposed research protocol(s) have not been/shall not be submitted elsewhere for any degree, fellowship or any other titles for recognition. The minutes of aforesaid meetings of IEC and SRC are available with the hospital and can be reproduced before NBE, if so required, at any point of time.

Name & Signature of the Academic Head/DNB Coordinator

Name & Signature of Administrative Head of the Institute

Encls.:

- 1. Composition of Institutional Ethics Committee (IEC)
- 2. Composition of Scientific Research Committee (SRC) Please affix official stamp of the Hospital Please affix official stamp

LOG BOOK

A candidate shall maintain a log book of operations (assisted / performed) during the training period, certified by the concerned post graduate teacher / Head of the department / senior consultant.

The log book should show evidence that the before mentioned subjects were covered (with dates and the name of teacher(s) The candidate will maintain the record of all academic activities undertaken by him/her in log book.

- 1. Personal profile of the candidate
- 2. Educational qualification/Professional data
- 3. Record of case histories
- 4. Procedures learnt
- 5. Record of case Demonstration/Presentations

LEAVE RULES

LEAVE RULES FOR DNB/FNB TRAINEES

The following revised leave rules shall apply to the candidates, those who join on or after 2018. Those who joined before 2018, the old leave rule shall be applicable.

- 1. DNB/FNB Trainees are entitled to avail leave during the course of DNB/FNB training as per the Leave Rules prescribed by NBE.
- 2. A DNB/FNB Trainees can avail a maximum of 30 days of leave in a year excluding regular duty off/ Gazetted holidays as per hospital/institute calendar/policy. This leave shall be processed at the institutional level.
- 3. Any kind of study leave is not permissible to DNB/FNB Trainees.
- 4. Under normal circumstances leave of one year should not be carried forward to the next year. However, in exceptional cases such as prolonged illness, the leave across the DNB/FNB training program may be clubbed together with prior approval of NBE.
- 5. Unauthorized absence from DNB/FNB training for more than 7 days may lead to cancellation of registration and discontinuation of the DNB/FNB training and rejoining shall not be permitted.
- 6. Any Leave availed by the candidate other than the eligible leave (30 days per year) shall lead to extension of DNB /FNB training. The training institute has to forward such requests to NBE along with the leave records of the candidate since his/her joining and supporting documents (if any) through the Head of the Institute with their recommendation/comments. NBE shall consider such requests on merit provided the seat is not carried over and compromise with training of existing trainees in the Department.
- 7. Any extension of DNB/FNB training beyond the scheduled completion date of training is permissible only under extra-ordinary circumstances with prior approval of NBE. Such extension is neither automatic nor shall be granted as a matter of routine.
- 8. DNB/FNB trainees are required to complete their training by a prescribed cutoff date (as per information bulletin of Exit exam) for being eligible to DNB/FNB Exit examination.
- 9. The eligibility for DNB/FNB Final Examination shall be determined strictly in accordance with the criteria prescribed in the respective information bulletin.

CLARIFICATION ON MATERNITY/PATERNITY LEAVE FOR DNB/FNB TRAINEES

- 1. As per the revised leave rules dated 20.03.2018 candidates join on or after 2018 can avail Maternity / Paternity leave, as per the Central or State Government policies, whichever is applicable to DNB/FNB training institute.
- 2. Any Leave availed by the DNB/FNB Trainee other than the eligible leave mentioned in the revised leave rules dated 20.03.2018, shall lead to extension of DNB /FNB training to complete the prescribed duration of training as mentioned in the information bulletin and registration letter.
- 3. DNB/FNB trainees are eligible for stipend either during the leave period or extension of training period as per the policies of DNB/FNB training institute and prevailing rules.
- 4. DNB/FNB trainees are required to complete their training, including the extension of training (wherever applicable), by the prescribed cut-off date, for being eligible to DNB/FNB Exit examination.
- 5. The eligibility for DNB/FNB Final Examination shall be determined strictly in accordance with the criteria prescribed in the respective information bulletin.

EXAMINATION

FORMATIVE ASSESSMENT

Internal Appraisal includes various formal and informal assessment procedures by which evaluation of student's learning, comprehension, and academic progress is done by the teachers/ faculty to improve student attainment. The nature of evaluation to be followed in Internal Appraisal should be on Formative Norms ONLY as it shall aim to give feedback on teaching and learning and become an integral part of the effective teaching .The end goal of Internal Appraisal should be to collect information which can be used to improve the student learning process.

The purpose of the exercise is to assist the NBE accredited hospitals/ institutions to develop in to a center of academic excellence.

Internal Appraisal is essentially positive in intent, directed towards promoting learning; it is therefore part of teaching. Validity and usefulness are paramount in internal appraisal and should take precedence over concerns for reliability.

The Internal Appraisal consists of three parts:

- Part I :- Conduction of theory examination
- Part-II :- Feedback session on the performance in the theory examination

Part-III :- Work place based clinical assessment

The assessment scheme consists of three parts as indicated below:-

PART – I	CONDUCT OF THEORY EXAMINATION	A trainee has to appear for theory exam to be held on a single day only.
PART – II	FEEDBACK SESSION ON THE THEORY PERFORMANCE	The evaluated answer sheets of the trainees shall be handed back to them after completion of assessment, for discussion with their respective Head of Departments & faculty.
PART – III	WORK PLACE BASED CLINICAL ASSESSMENT *	After theory examination, trainees have to appear for Clinical Assessment.

* The Work Place Based Clinical Assessment is to be conducted for final year trainees ONLY. It is NOT applicable for the first year trainees in accordance with the prescribed guidelines.

FINAL EXAMINATION

The summative assessment of competence will be done in the form of DNB Final Examination leading to the award of the degree of Diplomate of National Board in Surgical Gastroenterology. The DNB final is a two-stage examination comprising the theory and practical part. An eligible candidate who has qualified the theory exam is permitted to appear in the practical examination.

Theory Examination

- The theory examination comprises of *Four* papers, maximum marks 100 each.
- There are 10 short notes of 10 marks each, in each of the papers. The number of short notes and their respective marks weightage may vary in some subjects/some papers.
- Maximum time permitted is 3 hours.
- Candidate must score at least 50% in the aggregate of *Four* papers to qualify the theory examination.
- Candidates who have qualified the theory examination are permitted to take up the practical examination.
- The paper wise distribution of the Theory Examination shall be as follows:
- Paper I(General Administration and Management)
- **Paper II** (Health Care and Health Administration)
- **Paper III** (Hospital Planning and Hospital Administration)
- **Paper IV** (Administration of Clinical and Non Clinical Services and Administrative Procedures)

Practical Examination:

- 1. Maximum Marks: 300.
- 2. Comprises of Clinical Examination and Viva.
- 3. Candidate must obtain a minimum of 50% marks in the Clinical Examination (including Viva) to qualify for the Practical Examination.
- 4. There are a maximum of three attempts that can be availed by a candidate for Practical Examination.

- 5. First attempt is the practical examination following immediately after the declaration of theory results.
- 6. Second attempt in practical examination shall be permitted out of the next three sessions of practical examinations placed alongwith the next three successive theory examination sessions; after payment of full examination fees as may be prescribed by NBE.
- 7. Absentation from Practical Examination is counted as an attempt.
- 8. Appearance in first practical examination is compulsory;
- 9. Requests for Change in center of examination are not entertained, as the same is not permissible.
- 10. Candidates are required not to canvass with NBE for above.

Declaration of DNB Final Results

- 1. DNB final is a qualifying examination.
- 2. Results of DNB final examinations (theory & practical) are declared as PASS/FAIL.
- 3. DNB degree shall be conferred at the convocation of NBE.

RECOMMENDED TEXT BOOKS AND JOURNALS

<u>Books</u>

Paper I: General Administration and Management

- 1. Management: Management: A Global, Innovative and Entrepreneurial Perspective -Harold Koontz
- 2. Management JF Stoner
- 3. Organisational Behaviour Stephen P Robbins
- 4. Management of Organisational Behaviour Paul Hersey
- 5. Human Behaviour at work Keith Davis
- 6. Personnel and Human Resource Management David Adenzo, Stephen P Robbins
- 7. Principles of Operations Research Harvey M Wagner
- 8. Industrial Engineering and Management OP Khanna
- 9. Material Management Inventory Control and Logistics AK Dutta
- 10. Financial Management for Hospital Administration GB Kulkarni
- 11. Hospital Stores Management: An integrated approach Dr Shakti Gupta, Dr Sunil Kant
- 12. Principles of Marketing P Kotler & Armstrong
- 13. Essentials of Healthcare Marketing E Brokovich
- 14. How to Market your Hospital without Selling your Philosophy GD Kunders

Paper II: Healthcare and Health Administration

- 1. Parks textbook of Preventive and Social Medicine K Park
- 2. National Health Programmes in India: National policies & legislations related to health Jugal Kishore
- 3. Understanding health Economics A guide for healthcare decision makers Paul R Macrone, UK Kogan
- 4. Biostatistics & Research Methodology Mahajan
- 5. Epidemiology in Health Services Management, G.E. Alan Dever
- 6. Epidemiology Leon Gordis
- 7. Medical negligence and the Law in India: Duties, Responsibilities , Rights Tapas Kumar Koley
- Medico legal aid to hospitals and doctors with consumer protection law MS Pandit & Shobha Pandit
- 9. Medical Ethics Challenges and prospects in India Subrata Sharma

Paper III: Hospital Planning and Hospital Administration

- 1. Principles of Hospital Administration JR Mc Gibony
- 2. Principles of Hospital Administration and Planning BM Sakharkar
- 3. Planning and Approach to Health Facilities(5 Volumes) WHO
- 4. Hospital Administration Handbook HS Rowland & BL Rowland
- 5. Hospital Planning and Administration R Lewelyn Davies and HMC Macaulay
- 6. Planning, Designing and Maintaining of Hospitals GD Kunders

- 7. Medical and Dental Space Planning: A comprehensive guide to design, equipment and clinical procedures Jain Malkin
- 8. Hospital Infection Control Guideline: Principles and Practice Sanjeev Singh, Shakti Kumar Gupta, Sunil Kant
- 9. Juran's Quality Handbook Joseph M Juran
- 10. Handbook of Healthcare quality & patient safety Gyani G, Thomas A
- 11. Handbook of Human Factors and Ergonomics in Healthcare and Patient Safety -Pascale Carayon
- 12. Quality in Healthcare Al Alassaf
- 13. Applying quality management in Healthcare Diane L Kelly
- 14. Quality & Accreditation of Healthcare Organisations WHO
- 15. Accreditation Manual : NABH
- 16. American Institute of Architects Hospital & Healthcare Facilities
- 17. National Building Code 2016 Bureau of Indian Standards
- 18. IPHS guidelines

Paper IV: Management of Clinical and Non Clinical Services

- 1. Hospital Special Care Facilities Harold Lauffman
- 2. Hospital Beds J Yates
- Antibiotic Policies: Controlling Hospital Acquired Infection Ian M Gould Jos Van Der Meer
- 4. District Healthcare Facilities WHO
- 5. Constructional and Functional requirements for road ambulances: (National Ambulance Code) Department of Road transport and Highways, Govt of india
- 6. Health Building Note (01-16) (Department of Health Govt. of UK)
- 7. Hospital and Health Services Administration: Principles and Practices Tabish Syed Amin
- 8. Leveraging Lean in Hospital ancillary Services: Charles Protzman and Joyce Kerpchar
- 9. Handbook of Hospital Security and Safety James T Turner
- 10. Emergency Medical Services & Disaster Management Dr PK Dave, Dr Shakti Gupta, Dr NK Parmar, Dr Sunil Kant

Journal & Magazines

- 1. Health Policy and Planning
- 2. Hospital and Health Network
- 3. Health Service Management & Research
- 4. Express Healthcare
- 5. JAHA: Journal of Academy of Hospital Administration
- 6. Physician Executive Journal
- 7. Health Service Management Review
- 8. World Hospitals and Health Services
- 9. Journal of Healthcare Management (ACHE)
- 10. Harvard Business Review
- 11. Journal on Hospital Infection
- 12. International Journal for evidence based healthcare
- 13. Hospital Design Manual
- 14. International Journal of Research Foundation of Hospital and Healthcare Administration